

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

PCT

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY
(PCT Rule 43bis.1)

To:

CTIPS AM Mch P
see form PCT/ISA/220
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Date of mailing
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference

see form PCT/ISA/220

2004P 20726WO

FOR FURTHER ACTION

See paragraph 2 below

International application No.

PCT/EP2006/050035

International filing date (day/month/year)

04.01.2006

Priority date (day/month/year)

20.01.2005

International Patent Classification (IPC) or both national classification and IPC

INV. H04B10/155

Applicant

SIEMENS AKTIENGESELLSCHAFT

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



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see form
PCT/ISA/210

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10/593415

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITYInternational application No.
PCT/EP2006/050035

Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of:
 - ☒ the international application in the language in which it was filed
 - ☐ a translation of the international application into , which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1 (b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
 - a. type of material:
 - ☐ a sequence listing
 - ☐ table(s) related to the sequence listing
 - b. format of material:
 - ☐ on paper
 - ☐ in electronic form
 - c. time of filing/furnishing:
 - ☐ contained in the international application as filed.
 - ☐ filed together with the international application in electronic form.
 - ☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.
PCT/EP2006/050035

Box No. V Reasoned statement under Rule 43b/s.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims	1-16
	No: Claims	
Inventive step (IS)	Yes: Claims	1-16
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-16
	No: Claims	

2. Citations and explanations

see separate sheet

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING
AUTHORITY (SEPARATE SHEET)**

International application No.

PCT/EP2006/050035

Re Item V

**Reasoned statement with regard to novelty, inventive step or industrial applicability;
citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: US-B1-6 661 976 (GNAUCK ALAN H ET AL) 9 December 2003 (2003-12-09)

2. The document D1 is regarded as being the closest prior art to the subject-matter b
claim 1 and shows (the references in parentheses applying to this document):

- an optical phase modulator that performs optical phase modulation on the input optical signal in accordance with a control signal to produce the single sideband signal (column 8, lines 27 - 29; figure 13)
- a control signal generator that generates the control signal (column 8, lines 39 - 45; figure 13)

2.1 The subject-matter of claim 1 differs from this known in D1 in that

- a converter that converts a portion of the optical signal into a corresponding electrical signal and
- the control signal generator generating the control signal in response to an optical signal pulse shape of the input optical signal represented in the portion of the input optical signal converted into the electrical signal.

The subject-matter of claim 1 is therefore new (Article 33(2) PCT).

2.2 The problem to be solved by the present invention may be regarded as how to generate a single sideband signal from an incoming optical signal.

2.3 The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT) as document D1 does not disclose how to adapt any incoming optical signal to a single sideband signal. The present invention can reshape any incoming optical signal.

2.4 Independent claim 12 contains the same subject matter as independent claim 1. Thus following point 2.1 the subject matter of claim 12 is new (Article 33(2) PCT and following point

**WRITTEN OPINION OF THE
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International application No.

PCT/EP2006/050035

2.3 the subject matter of claim 12 is inventive (Article 33(3) PCT).

2.5 Claims 2-11 are dependent on claim 1 and as such also meet the requirements of ~~a~~
PCT with respect to novelty and inventive step.

2.6 Claims 13-16 are dependent on claim 12 and as such also meet the requirements ~~b~~
the PCT with respect to novelty and inventive step.